



## MEMBER SPOTLIGHT

James W. Lee



This month's member spotlight is on James Lee, President of Lee Investment Management LLC. James has been elected to the board of directors of the chapter and will chair the Government Relations Committee.

Lee Investment Management provides comprehensive financial planning and investment management services to its clients on a fee-only basis.

James has always had a strong personal and professional interest in government and is excited to use his knowledge to advocate for the financial planning profession. After graduating from the University of Virginia, James spent ten years in Washington, DC working for an investment research firm. His job included analyzing how new laws and regulations would impact the securities of companies and industries.

In 1996, James and his wife decided to move back to their childhood hometown of Saratoga Springs. Shortly thereafter, he founded his registered investment advisory firm. After leaving DC, James' interest in government continued. He was appointed to several government boards and has served as the chairman of his town's Republican Committee.

"During my time in Washington, I saw firsthand how government actions can have a huge impact on businesses," James said. "As the FPA chapter that is closest to the state capital, it's important that we build strong relationships with the policymakers who shape the laws and regulations that will influence our industry and clients."

James outlined several goals for the government relations committee for 2011. The first goal is to learn which issues are important to the chapter's membership. Second, James wants to establish a regular line of communication with the lawmakers and regulators who impact the financial planning industry. Third, he would like to coordinate with the government relations chairs of all the state chapters so they might speak with one voice. Finally, James wants to keep the membership informed of the major issues facing the industry on the state level.

James lives in Greenfield Center with his wife Holly and 9-year old son, Jude. He is a graduate of the Saratoga County Chamber of Commerce's leadership program and was named "40 under 40" and a "Person Who'll Make a Difference" by the Saratogian newspaper. In his spare time, he enjoys golf, coaching Jude's soccer and lacrosse teams, and volunteering for various non-profit organizations.